

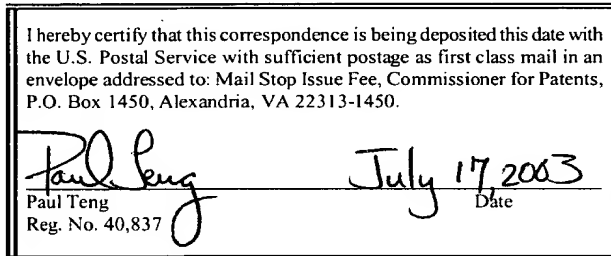
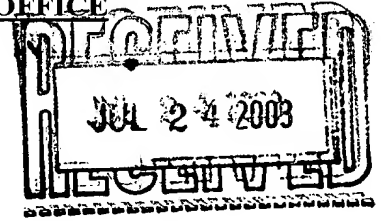


Dkt. 1584/65396

2176  
#6  
SP  
7-28-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Randy PRAGER and Peter SPARAGO  
Serial No. : 09/892,258 Group Art Unit: 2176  
Filed : June 26, 2001 Examiner:  
For : ENTERPRISE, STREAM-BASED INFORMATION MANAGEMENT SYSTEM



1185 Avenue of the Americas  
New York, N.Y. 10036  
(212) 278-0400

**RECEIVED**

JUL 23 2003

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the applicants' duty of disclosure, the information listed in the attached Form PTO-1449 is brought to the attention of the Examiner. Copies of the information identified herein are also provided.

It is respectfully requested that the information cited in annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of the particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Randy PRAGER and Peter SPARAGO  
Serial No.: 09/892,258  
Filed: June 26, 2001  
Page 2

A first Office Action has not been received in connection with the subject application. Accordingly, pursuant to 37 C.F.R. §1.97(b)(3), Applicants believes that the present Information Disclosure Statement is timely filed and no fee or certification is due or required.

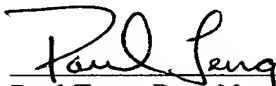
However, in the event that a first Office Action has been mailed but has not yet been received by Applicants or connected with the application file in the undersigned attorney's office, Applicants hereby request consideration of this Information Disclosure Statement, pursuant to 37 C.F.R. §1.97(c)(1). Applicants certify, pursuant to 37 C.F.R. §1.97(e)(2), that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making a reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

This Information Disclosure Statement is being filed pursuant to 37 C.F.R. §1.97(b)(3), before the date of mailing of a first Office Action on the merits in connection with this case.

The Office is hereby authorized to charge any additional fees which may be required for consideration of this Information Disclosure Statement and to credit any overpayment to our Deposit Account No. 03-3125.

Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

  
\_\_\_\_\_  
Paul Teng, Reg. No. 40,837  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400